EVALUATION DEPARTMENT



Evaluation Programme 2017-2018





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Evaluation Programme 2017-2018

Evaluation is an important instrument for an effective development policy. While different parts of the Norwegian development aid administration are responsible for reporting the results of individual aid interventions, the Evaluation Department has a particular responsibility for documenting the degree to which Norwegian development assistance is effective, relevant, and achieves the required results. The department reports direct to the Secretaries General of the Norwegian Ministry of Foreign Affairs and the Norwegian Ministry of Climate and Environment. The work of the department is carried out according to the following principles:

- » Evaluations shall be conducted independently of those who are responsible for the administration and implementation of programmes and projects.
- » Evaluations shall be conducted in accordance with recognized professional evaluation methods and principles.
- >> Evaluations shall seek to elucidate relevant issues.
- » Evaluations shall put forward operational recommendations that may be utilized in the preparation of budgets and in further developing the activity being evaluated.
- >> Evaluations shall contribute to a constructive and open debate.

The evaluation programme has been developed in consultation with actors within and outside of the aid administration. The evaluation projects have been selected based on an assessment of significance, uniqueness and risk in Norwegian development cooperation, also taking account of the issues that are anticipated to be relevant during the programme period.

The programme may be adjusted based on changes in needs and preconditions. The programme and the status of planned and ongoing evaluations can be found on our webpages at www.norad.no/en/front/evaluation.

Evaluations and studies to be continued from 2016

Quality of reviews and decentralized evaluations in the Norwegian development aid administration

Reviews and decentralized evaluations are important tools in the management of funds and can contribute to a strong knowledge base on Norwegian aid, provided that the quality is good. The evaluation will be completed in January 2017.

Consequences of the integration of foreign policy and development policy

Development policy and foreign policy are closely integrated. Out of a desire to clarify the consequences of this integration for our work to evaluate the implementation of Norwegian development policy, a discussion note is under preparation and will be completed in January 2017.

The use of the aid budget to cover spending on refugees in Norway

Around one-tenth of the aid budget in 2015 was used to cover expenses for refugees in Norway. In the budget proposal for 2016 an increase in the share of the aid budget was planned for this purpose. A background note on this will be completed in January 2017. At the same time the OECD/DAC has established a working group on the use of ODA for this purpose. A possible evaluation will be considered after the report from the work in the OECD/DAC has been completed in 2017.

Review of the use of tax treaties with low-income countries

The main purpose of the study, which will be finalized in the first quarter of 2017, is to gain insight into whether or not Norway's tax treaties function as intended and contribute to a predictable tax regime, without undermining the partner countries' tax base.

The relationship between humanitarian and long-term assistance

The theme of the evaluation will be determined on the basis of a feasibility study that will be finalized early in 2017.

Synthesis studies of aid evaluations in focus countries

The Norwegian government identified twelve focus countries for development cooperation efforts. Efforts to systematize existing evaluation knowledge for the Norwegian focus countries began in 2016. To date, studies (Country Evaluation Briefs) have been completed for Afghanistan, South Sudan and Mozambique. Three studies are under preparation for Malawi, Somalia and Palestine, and are expected to be completed in May 2017. Studies of the remaining six focus countries are scheduled for completion by the end of 2017.

Effect evaluation of the Norwegian-Indian partnership initiative for maternal and child health (NIPI) phase II

NIPI is one of five bilateral partnership initiatives that Norway has agreed to contribute to in order to achieve better health and reduced mortality for mother and child. The main purpose of the evaluation is to provide knowledge about the extent to which the supported measures work. Comparison groups will be used to assess whether the effects can be attributed to the measures. Data was collected in 2014 and 2016. Completion is planned for the autumn of 2017.

Real-time evaluation of the Norwegian government's climate and forest initiative

The real-time evaluation of the Norwegian government's climate and forest initiative has been in progress since 2010. Its main purpose is to contribute to learning. Two evaluations are scheduled to be completed in the spring of 2017. One evaluation deals with empowerment of local communities through civil society organizations. The other evaluation will analyse the interaction between the World Bank's Forest Carbon Partnership Facility and partners, especially at country level. In addition, one or two new evaluations will be initiated in 2017.

Evaluations and studies that start up in 2017/2018

Synthesis study of evaluations of civil society organizations

The synthesis study will systematize findings from relevant evaluations of the work of civil society organizations in the countries of southern Africa.

Cooperation between Norwegian civil society organizations and partners in the South

The evaluation will map and analyse the perceptions among various stakeholders in the North and the South, and seek to identify the intended and unintended effects of cooperation.

Norwegian support to education in crisis through civil society

The evaluation will analyse the results of Norwegian support through civil society to education in crisis situations. The evaluation will include one field-based study (Lebanon and Jordan) and two desk-based studies (Somalia and South Sudan).

Effects of support for information purposes

Grants for information purposes of the aid budget are intended to contribute to increased commitment, knowledge, and critical debate in Norway about the North/South relationship and development issues. The purpose of the evaluation is to analyse whether and how activities have helped to spread knowledge and stimulate debate about such questions in the Norwegian population.

Norway's work with human rights in the field of business development

Norway played a central role in the preparation of the United Nations guiding principles on business and human rights, which has been in effect since 2011. The evaluation will focus on how the consideration of human rights is taken account of in the design and implementation of the Norwegian private sector development initiatives.

Norway's work with capital flight and transparency, including anti-corruption and tax

The evaluation will focus on Norway's work on this agenda over the last ten years. The evaluation will build on a recent review by the Auditor General's office of development assistance to good governance and anti-corruption.

Coherence in the Norwegian involvement in Myanmar

The evaluation will look at the Norwegian involvement in Myanmar over the past ten years, and consider the coherence between the Norwegian aid efforts and other Norwegian involvement (such as private sector collaboration) in the country.

The organization of the development aid administration

Norwegian development aid administration was reorganized in 2004. The intention of the reform was to make the Norwegian development cooperation more effective and comprehensive. The evaluation will map the changes that have taken place since this reform was initiated, and assess the consequences with respect to effectiveness and efficiency. There will be a particular focus on the division of responsibility between aid management at home and abroad.

Norway's participation in multilateral funds

The evaluation will assess the basis for decisions regarding Norwegian participation in selected multilateral funds over the last ten years with a particular focus on reviews of alternatives, risks, and Norway's capacity to follow up. The evaluation will include a synthesis study of existing evaluations of funds supported by Norway.

Results orientation in Norwegian development assistance

The evaluation will focus on the scope, quality, and utility of the work on results orientation in Norwegian aid management, with particular attention to the positive and negative effects for civil society organizations and others who interact with the Norwegian aid administration.

Norwegian peace mediation efforts

The evaluation will look at Norway's peace mediation efforts in Colombia with an emphasis on lessons learned that can be used in similar efforts in the future. The evaluation will also assess whether and to what extent, if any, lessons from other peace mediation efforts were used in Colombia.

Desk study with validation of the reviews and decentralized evaluations

A desk-based assessment of the quality of the 2016 portfolio of reviews and decentralized evaluations will be conducted in 2017. The purpose is to identify strengths and weaknesses in order to help improve the quality of this work. The study is a follow-up of the evaluation of the quality of reviews and decentralized evaluations (to be finalized in January 2017).

Collaboration and partnerships with multilateral organizations

The Evaluation Department has ongoing partnerships with the evaluation offices in relevant multilateral organizations. The purpose of the partnerships is to help build knowledge and promote learning on evaluations.

For 2017 the concentration will be on partnerships with the Independent Evaluation Office in UNDP, Office of Independent Development Evaluation in the African Development Bank, and the Independent Evaluation Office of the Global Environment Facility. In addition, the Department will participate in the partnership between the OECD/DAC and the UN Evaluation Group on peer review of the evaluation function in UNICFE.

Overview of evaluations and studies that have been conducted in recent years

All evaluations and studies are available at our website www.norad.no/en/front/evaluation. Brief summaries of the reports are also provided in our annual reports.

CIVIL SOCIETY AND DEMOCRACY DEVELOPMENT

- 2016 E/ 1.16 Chasing civil society? Evaluation of Fredskorpset
- 2014 E/ 5.14 Evaluation of Norwegian support through and to umbrella/network organisations in civil society
- 2013 E/ 4.13 Evaluation of five humanitarian programmes of the Norwegian Refugee Council and of the standby roster NORCAP
- 2012 S/ 7.12 A study of monitoring and evaluation in six Norwegian civil society organisations
- 2011 E/ 1.11 Results of development cooperation through Norwegian NGO's in East Africa
- 2011 E/ 3.11 Evaluation of the Strategy for Norway's Culture and Sports Cooperation with Countries in the South
- 2010 E/ 1.10 Evaluation of Norwegian Centre for Democracy Support
- 2009 E/ 3.09 Evaluation of Norwegian development cooperation through NGOs in Northern Uganda
- 2009 E/ 4.09 Evaluation of Norwegian support to protection of cultural heritage
- 2009 E/ 6.09 Evaluation of humanitarian mine action activities of Norwegian Peoples Aid
- 2007 S/ 2.07 Development cooperation through Norwegian NGOs in South America
- 2007 E/ 5.07 Evaluation of the development cooperation to Norwegian NGOs in Guatemamala
- 2006 E/ 2.06 Evaluation of Fredskorpset

Governance and anti-corruption

- 2012 E/ 2.12 Hunting for Per Diem. The uses and abuses of travel compensation in three developing countries
- 2011 S/ 4.11 Contextual choices in fighting corruption: Lessons Learned
- 2011 E/ 6.11 Joint evaluation of support to anti-corruption efforts, 2002-2009
- 2010 S/ 2.10 Synthesis study: Support to legislatures
- 2010 E/ 8.10 Evaluation of Transparency International
- 2010 E/ 11.10 Evaluation of IOM and its efforts to combat human trafficking
- 2009 E/ 2.09 Mid-Term evaluation of the joint donor team in Juba, Sudan
- 2008 S/ 2.08 Anti-corruption approaches. A literature review

PRIVATE SECTOR DEVELOPMENT

- 2015 E/ 1.15 Evaluation of the Norwegian Investment Fund for Developing Countries (Norfund)
- 2011 S/ 8.11 Norway's trade related assistance through multilateral organizations: A synthesis study
- 2010 E/ 3.10 Synthesis main report: Evaluation of Norwegian business related assistance
 - S/ 4.10 Case study South Africa
 - S/ 5.10 Case study Bangladesh
 - S/ 6.10 Case study Uganda
- 2009 E/ 3.09 Evaluation of business relatd assistance to Sri Lanka

HUMANITARIAN ASSISTANCE AND HUMAN RIGHTS

- 2016 S/ 3.16 More than just talk? A literature review on promoting human rights through political dialogue
- 2016 E/ 4.16 'Striking the balance.' Evaluation of the planning, organisation and management of Norwegian assistance related to the Syria regional crisis
- E = Evaluation S=Study

- 2015 S/ 8.15 Work in Progress: How the Norwegian MFA and its partners see and do engagement with crisis affected populations
- 2014 E/ 8.14 Evaluation of the Norwegian humanitarian assistance to Haiti after the 2010 earthquake
- 2013 S/ 1.13 A framework for analysing participation in development
- 2012 E/ 1.12 Mainstreaming disability in the new development paradigm
- 2011 E/ 7.11 Evaluation of Norwegian development cooperation to promote human rights
- 2008 E/ 1.08 Evaluation of Norwegian Emergency Preparedness System (NOREPS)
- 2008 S/ 2.08 Syntheis study: Cash transfers contributing to social protection
- 2007 S/ 1.07 Synthesis report: Humanitær innsats ved naturkatastrofer
- 2007 E/ 3.07 Evaluation of the effects of using M621 cargo trucks in humanitarian transport operations

PEACE. CONFLICT RESOLUTION AND DEMOCRACY

- 2014 E/ 6.14 Building blocks for peace: An evaluation of Training for Peace in Africa Program
- 2012 E/ 3.12 Evaluation of Norwegian development cooperation with Afghanistan 2001-2010
- 2011 E/ 5.11 Pawns of Peace. Evaluation of Norwegian peace efforts in Sri Lanka, 1997-2009
- 2010 E/ 7.10 Evaluation of Norwegian development cooperation with West Balkans
- 2009 E/ 5.09 Evaluation of Norwegian support to peacebuilding in Haiti 1998-2008
- 2008 E/ 5.08 Evaluation of the Norwegian reserach and development activities in conflict prevention and peace building

RESEARCH, CAPACITY BUILDING AND EVALUATION

- 2015 E/ 6.15 Evaluation series of NORHED: Evaluation of the award mechanism
- 2015 S/ 9.15 Evaluation series of NORHED: Evaluability study
- 2015 E/ 10.15 Evaluation of Norwegian support to capacity development
- 2014 E/ 4.14 Evaluation series of NORHED: Theory of change and evaluation methods
- 2011 E/ 2.11 Evaluation of research on Norwegian development assistance
- 2009 E/ 7.09 Evaluation of NUFU and NOMA
- 2008 S/ 1.08 Synthesis study: On best practice and innovative approaches to capacity development in low income African countries.
- 2006 E/ 1.06 Inter-ministerial cooperation- An effective model for capacity development

CLIMATE AND DEVELOPMENT

- 2016 S/ 2.16 Real-time evaluation of the Norwegian Governtment's International Climate and Forest Initiative: Literature review and programme theory
- 2014 E/ 3.14 Real-time evaluation of the Norwegian Government's International Climate and Forest Initiative: Synthesis report 2007-2013
- 2013 E/ 5.13 Real-time evaluation of Norway's International Climate and Forest Initiative: Measurement, Reporting and Verification
- 2012 E/ 5.12 Real-time evaluation of Norway's International Climate and Forest Initiative. Lessons learned from support to civil society organisations
- 2010 E/ 12.10 Real-time evaluation of Norway's International Climate and Forest Initiative Contributions to a Global REDD+ Regime 2007-2010
 - 13.10 Executive summary from Country Reports
 - 14.10 Country Report: Brazil
 - 15.10 Country Report: Democratic Republic of Congo
 - 16.10 Country Report: Guyana
 - 17.10 Country Report: Indonesia
 - 18.10 Country Report: Tanzania
- 2009 S/ 4.09 Study: Norwegian Enviornmental Action Plan

ENERGY, NATURAL RESOURCES AND SUSTAINABLE DEVELOPMENT

- 2015 S/ 3.15 A baseline study of Norwegian development cooperation within the areas of environment and natural resources management in Myanmar
- 2012 E/ 6.12 Facing the Resource Curse: Norway's Oil for Development Program

- 2012 E/ 9.12 Evaluation of Norway's bilateral agricultural support to food security
- 2008 E/ 6.08 Evaluation of Norwegian development cooperation in fisheries sector
- 2007 E/ 1.07 Evaluation of Norwegian petroleum related asistance
- 2007 E/ 2.07 Evaluation of Norwegian power related asisstance
- 2007 E/ 4.07 Evaluation of Norwegian development support to Zambia (1991-2005)

WOMEN'S RIGHTS AND GENDER EQUALITY

- 2015 E/ 2.15 Evaluation of Norway's support to women's rights and gender equality in development cooperation
- 2007 S/ 1.07 Study of the Norwegian international effort against female genital mutilation
- 2006 S/ 1.06 Synthesis report- Lessons from evaluation of women and gender equality in development cooperation

GLOBAL HEALTH AND VACCINATION

- 2015 S/ 4.15 Experiences with results-based payments in Norwegian Development Aid
 - S/ 5.15 Basis for decisions to use results-based payments in Norwegian Development Aid
- 2014 S/ 7.14 Baseline study: Impact evaluation of Norwegian-Indian Partnership Initiative Phase II
- 2013 E/ 2.13 Local perception, participation and accountabillity in Malawi's health sector
- 2013 E/ 3.13 Evaluation of the Norway India Partnership Initative for Maternal and Child Health
- 2011 E/ 10.11 Evaluation of Norwegian health sector support to Botswana
- 2009 S/ 1.09 Global aid architecture and health milleneum goals
- 2009 E/ 1.09 Joint evaluation of Nepal's education for all (2004-2009
- 2008 E/ 4.08 Evaluation of HIV/AIDS responses

UN ORGANISATIONS AND MULTILATERAL FINANCIAL INSTITUTIONS

- 2015 E/ 7.15 Evaluation of Norwegian multilateral support to basic education
- 2012 E/ 4.12 Evaluation of the Health Results Innovation Trust Fund
- 2011 S/ 9.11 Activity-based financial flows in UN System: A study of select UN Organisations
- 2010 E/ 10.10 Evaluation of democracy support through United Nations
- 2009 S/ 2.09 A Synthesis of evaluations of environment assistance by multilateral organisations
- 2008 E/ 2.08 Evaluation of the Trust Fund for Environmentally and Socially Sustainable Development (TFESSD)

AID ADMINISTRATION

- 2016 Review of evaluation systems in development cooperation (OECD DAC-publication)
- 2015 S/ 11.15 Mapping study of reviews and evaluations in the Norwegian aid administration
- 2014 S/ 1.14 Can we demonstrate the difference that Norwegian aid makes?
- 2014 S/ 2.14 A study of unintended effects in evaluation of Norwegian aid
- 2012 E/ 8.12 Use of evaluations in the Norwegian development cooperation System
- 2010 S/ 9.10 Evaluability study of partnership initiatives
- 2008 S/ 1.08 The challenge of assessing aid impacts. A review of Norwegian evaluation practice

OTHERS

- 2016 E/ 5.16 Evaluation of Norway's support for advocacy in the development policy arena
- 2008 E/ 3.08 Mid-term evaluation of EEA grants

COUNTRY EVALUATION BRIEFS

- 2016 6.16 Country Evaluation Brief: South Sudan
- 2016 7.16 Country Evaluation Brief: Afghanistan
- 2016 8.16 Country Evaluation Brief: Mozambique

Evaluations and studies supported through partnership agreements since 2010

INDEPENDENT DEVELOPMENT EVALUATION (IDEV) AFRICAN DEVELOPMENT BANK

- 2016 Towards private sector led growth: Lessons of experience. Evaluation synthesis report
- 2016 Evaluation of the Bank's country strategy and program in Ethiopia 2004-2013
- 2016 Evaluation of the Bank's country strategy and program in Tanzania 2004-2013
- 2015 Independent evaluation of Bank group equity investments

INDEPENDENT EVALUATION GROUP (IEG) WORLD BANK GROUP

- 2015 Systematic review of welfare impacts of electricity access
- 2014 World Bank Group support to reforms of business regulations
- 2014 World Bank Group support to health financing
- 2014 Learning and results in World Bank operations: How the Bank learns
- 2013 The World Bank: Experience with targeted support to small and medium-sized enterprises
- 2013 The World Bank: Assistance to low-income fragile and conflict-affected states
- 2013 The World Bank: The World Bank Group's partnership with the Global Environment Facility
- 2012 The World Bank: Impact Evaluations are they relevant and what do they lead to?
- 2012 The World Bank: Support for youth employment
- 2012 The World Bank: Efforts in Liberia
- 2012 The World Bank: Efforts in Afghanistan 2002-2011
- 2012 The World Bank: Support for sustainable management of forest resources
- 2012 The World Bank: Adapting to climate change
- 2011 Review of the Global Fund to Fight AIDS, Tuberculosis and Malaria 39
- 2011 Review of the Multi-Donor Trust Fund for the Extractive Industries Transparency Initiative
- 2011 Evaluation of World Bank Activities in Information and Communication Technologies
- 2011 Evaluation of the World Bank's strategy for work on governance and anti-corruption
- 2011 Evaluation of the World Bank's Timor-Leste Programme, 2000-2012
- 2010 Evaluation of the World Bank's work on harmonisation and alignment in low-income countries

INDEPENDENT EVALUATION OFFICE (IEO) UNITED NATIONS DEVELOPMENT PROGRAMME (UNDP)

- 2014 Assessment of the results of the UN development programme in Timor-Leste
- 2013 The UN Development Programme: Evaluation of the Fourth Global Programme
- 2013 The UN Development Programme: Evaluation of support to Afghanistan
- 2012 The UN Development Programme: Support to countries in conflict
- 2012 The UN Development Programme: Efforts in Liberia
- 2012 The UN Development Programme: Efforts in DR Congo
- 2012 The UN Development Programme: Efforts in Nepal
- 2011 Evaluation of UNDP Contribution in Bangladesh
- 2011 Evaluation of UNDP Contribution in Malawi

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